

Attorney's Docket No. 007198-353

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of,

Kwok On Lai et al

Application No.: 09/157,9

**Filed: September 22, 1998**

For: CLONING OF A NOVEL  
NEUROTROPHIN NT-7  
FROM CARP

Group Art Unit: 1646

Examiner: Unassigned

of **OIPE** **JC35**  
**MAY 20 1999**  
**PATENT & TRADEMARK OFFICE**

MAY 21 1999  
MATRIX CUSTOMER  
SERVICE CENTER

## INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Washington, D.C. 20231

**Sir:**

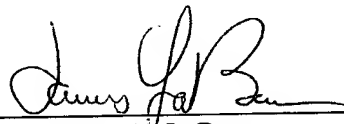
Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$240.00 as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e), a petition requesting consideration of the information disclosure statement, and the petition fee of \$130.00 as set forth in 37 C.F.R. § 1.17(i) are also enclosed.
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R.  
§§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to  
Deposit Account No. 02-4800. This paper is submitted in triplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By:   
James A. LaBarre  
Registration No. 28,632

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

Date: May 20, 1999

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of )  
)

Kwok On Lai et al )  
)

Application No.: 09/157,984 )  
)

Filed: September 22, 1998 )  
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For: CLONING OF A NOVEL )  
NEUROTROPHIN NT-7 )  
FROM CARP )



) Group Art Unit: 1646

) Examiner: Unassigned

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56,  
Applicants hereby submit the following information in conformance with 37 C.F.R.  
§§ 1.97 and 1.98. A copy of the document cited below is enclosed.

**Publications**

Gotz, Rudolf et al, "*Neurotrophin-6 is a new member of the nerve growth factor family*", Nature, Volume 372, 17 November 1994, pps. 266-269.


To assist the Examiner, the document is listed on the attached form PTO-1449. It is respectfully requested that an initialled copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

By: \_\_\_\_\_

  
James A. LaBarre  
Registration No. 28,632

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